

SOLICITORS DISCIPLINARY TRIBUNAL

IN THE MATTER OF THE SOLICITORS ACT 1974

Case No. 11324-2015

BETWEEN:

SOLICITORS REGULATION AUTHORITY

Applicant

and

MICHAEL NICHOLAS LEVY

Respondent

Before:

Mr A. Ghosh (in the Chair)

Mr T. Cullen

Mr M. Hallam

Date of Decision: 6 June 2015

Appearances

The parties were not required to attend as this matter was dealt with on the papers.

**MEMORANDUM OF APPLICATION TO APPROVE A
REGULATORY SETTLEMENT
AGREEMENT**

1. This matter was due to come before the Tribunal for a substantive hearing on 9 June 2015. On 2 June 2015 Shaun O'Malley of the Solicitors Regulation Authority sent an email to the Tribunal enclosing a letter, also dated 2 June 2015, making an application for approval of a Regulatory Settlement Agreement (RSA) and requesting leave for the Applicant to withdraw proceedings against the Respondent with no Order as to costs. A copy of a RSA dated 22 May 2015 and signed by the Respondent was also attached. The Tribunal was asked to deal with the application administratively to avoid the need for the parties to attend the hearing on 9 June 2015 which would incur additional costs. If the Tribunal approved the RSA, the Applicant confirmed the SRA would then sign it.

The Tribunal's Decision

2. The Tribunal considered carefully the RSA provided, and the content of the letter dated 2 June 2015 from the Applicant. The Respondent had made a number of admissions in the RSA and had agreed to accept a rebuke as well as pay the Applicant's costs of £1,000 by way of instalments.
3. The Tribunal was satisfied that, in this particular case, taking into account the nature of the allegations and circumstances, it was appropriate, proportionate and in the public interest for matters to be dealt with by way of a RSA. Accordingly, the Tribunal made the following Order:
 - 3.1 The Regulatory Settlement Agreement dated 15 May 2015 and signed by the Respondent is approved and leave is granted to the Applicant to withdraw the proceedings against the Respondent.
 - 3.2 The substantive hearing listed on 9 June 2015 is vacated.

Dated this 26th day of June 2015

On behalf of the Tribunal



A. Ghosh
Chairman

