

Case Number: 12742-2025

IN THE MATTER OF THE SOLICITORS ACT 1974

SOLICITORS REGULATION AUTHORITY LTD

Applicant

And

JACK ALEXANDER WILLIAMS

Respondent

**RESPONSE BY THE RESPONDENT TO THE STATEMENT OF THE APPLICANT
MADE PURSUANT TO RULE 12 (2) SOLICITORS (DISCIPLINARY
PROCEEDINGS RULES) 2019**

Introduction

1. The Applicant has served a statement upon the Respondent pursuant to Rule 12(2) of the Solicitors (Disciplinary Proceedings) Rules 2018 dated 7th March 2025 (the Rule 12 Statement).
2. In this Answer, the Respondent provides a summary of his response to the allegations made in the Rule 12 Statement.

Allegation 1.1

3. The Applicant alleges that on or around 25th September 2023, the Respondent amended the electronic copy of an internal handover note dated 19th December 2022 relating to the estate of client A, by deleting the prompt to carry our CGT mitigation with the intention and/or effect of misleading others into believing that no prompt had been included in the original internal handover note. In doing so, he breached Principles 2, 4 and 5 of the SRA Principles 2019 (the Principles) and failed to comply with paragraph 1.4 of the SRA Code of Conduct for Solicitors, RELs and RFLS 2019 (the Code).
4. The Respondent **admits** the alleged conduct and **admits** that his conduct breached Principles 2, 4 and 5 of the Principles and that he failed to comply with paragraph 1.4 of the Code.

Allegation 1.2

5. The Applicant alleges that on 27th September 2023, the Respondent sent an email which was misleading by failing to set out fully and/or accurately the circumstances that gave rise to a CGT liability to the estate of Client A. In doing

so, the respondent breached Principles 2, 4 and 5 of the Principles and failed to comply with paragraph 1.4 of the Code.

6. The Respondent **admits** the alleged conduct and **admits** that his conduct breached Principles 2,4 and 5 of the Principles and that he failed to comply with paragraph 1.4 of the Code.

Richard Nelson

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Steve Roberts
Partner
Richard Nelson LLP
Signed on behalf of the Respondent
Dated this 6th day of May 2025.